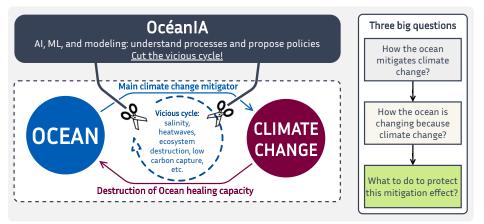
# Explainable AI for Understanding Plankton Communities The case of plankton image identification

TAU and Inria Chile

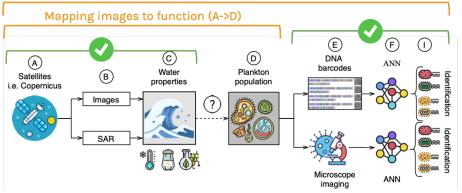
OcéanIA Annual Meeting 23 February 2024





#### Towards remote identification of ocean ecosystems

#### Mapping images to individuals (A->I)



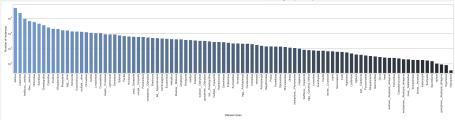
### Identifying plankton species

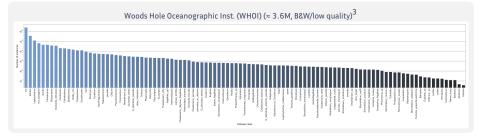
- Plankton images are easier and cheaper to obtain than genetic sequences (DNA, RNA, etc.),
- but harder to annotate -> require experts.
- different cameras, expeditions, processing, etc.

## For instance, EcoTaxa:<sup>1</sup>

- +320 million images
- ≈ 4 million tagged as 'living'
- ≈ 8 million as 'non-living'







<sup>2</sup> Amanda, E., Corinne, D., Laetitia, J., Marion, O., Jean-Baptiste, R., Manoela, C. B., Fabien, L., Natalia, L., Justine, C., Louis, C.-C., Bruno, S., Jean-Olivier, I., Gaby, P. M. G., & Lars, S. (2017). Zooscannet: plankton images captured with the zooscan. SEANOE

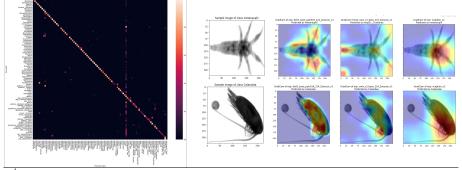
<sup>3</sup> Orenstein, E. C., Beijbom, O., Peacock, E. E., & Sosik, H. M. (2015). Whoi-plankton- A large scale fine grained visual recognition benchmark dataset for plankton classification. *CoRR*, abs/1510.00745

#### Zooscan dataset (≈ 1.4M EcoTaxa subset, high quality)<sup>2</sup>

#### First step: the supervised way

Xception,<sup>4</sup> Efficientnet v2,<sup>5</sup> ConvNeXt<sup>6</sup>, Inception,<sup>7</sup> SWIN s3,<sup>8</sup> and DeiT<sup>9</sup>.

Data augment, adapt weighted resampling, focal loss, etc.



- <sup>4</sup> Chollet, F. (2016). Xception: Deep learning with depthwise separable convolutions. CoRR, abs/1610.02357
- <sup>5</sup>Tan, M. & Le, Q. V. (2021). Efficientnetv2: Smaller models and faster training. *CoRR*, abs/2104.00298

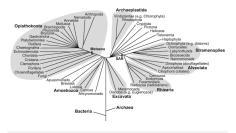
<sup>6</sup> Liu, Z., Mao, H., Wu, C., Feichtenhofer, C., Darrell, T., & Xie, S. (2022). A convnet for the 2020s. CoRR, abs/2201.03545

<sup>7</sup> Szegedy, C., Liu, W., Jia, Y., Sermanet, P., Reed, S. E., Anguelov, D., Erhan, D., Vanhoucke, V., & Rabinovich, A. (2014). Going deeper with convolutions. CoRR, abs/1409.4842

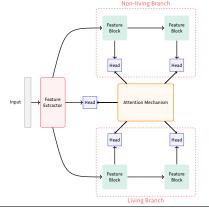
<sup>8</sup> Liu, Z., Lin, Y., Cao, Y., Hu, H., Wei, Y., Zhang, Z., Lin, S., & Guo, B. (2021). Swin transformer: Hierarchical vision transformer using shifted windows CoRR abs/210314030



#### Step 2: Hierarchical classification



# Segregated BA-CNN model: branches for *living* and *non-living*.



Intuitively, it makes sense to exploit the taxonomy information.

- Branch CNN<sup>10</sup>
- Hierarchical bilinear CNN<sup>11</sup>
- Branch-attention CNN<sup>12</sup>

<sup>10</sup>Zhu, X. & Bain, M. (2017). B-CNN: branch convolutional neural network for hierarchical classification. *CoRR*, abs/1709.09890

<sup>11</sup> Zhang, X., Tang, L., Luo, H., Zhong, S., Guan, Z., Chen, L., Zhao, C., Peng, J., & Fan, J. (2021). Hierarchical bilinear convolutional neural network for image classification. *IET Computer Vision*, 15

<sup>12</sup> Pizarro, I., Ñanculef, R., & Valle, C. (2023). An attention-based architecture for hierarchical classification with cnns. IEEE Access, 11, 32972–32995

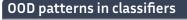
#### Hierarchical classification results

Hierarchical Experiments												
Experiment	First Level				Second Level				Third Level			
Names	Acc	F1	Pre	Rec	Acc	F1	Pre	Rec	Acc	F1	Pre	Rec
Flat Baseline	Х	Х	Х	Х	X	Х	Х	Х	88.5	89.6	91.2	88.5
B-CNN	97.1	97.1	97.1	97.1	95.8	95.8	95.1	94.7	92.3	92.3	92.6	90.6
HB-CNN	97.2	97.2	97.2	97.2	95.6	95.5	94.8	94.0	92.0	91.9	91.8	89.0
BA-CNN	98.7	98.7	98.7	98.7	95.9	95.8	95.5	94.9	92.2	92.1	92.3	89.5
SBA-CNN	97.2	97.2	97.2	97.2	90.2	90.8	89.1	90.2	83.7	88.4	89.7	83.7

Hierarchical results for individual branches										
Experiment	Specific		Second	d Level		Third Level				
Names	Relevant	Irrelevant	Acc	F1	Pre	Rec	Acc	F1	Pre	Rec
B-CNN	Х		98.9	99.6	94.3	98.9	94.5	96.5	95.3	94.5
HB-CNN	X		98.9	99.5	94.0	98.9	93.2	96.4	95.0	93.1
BA-CNN	Х		99.2	99.7	96.8	99.2	93.1	96.5	95.3	93.1
SBA-CNN	Х		99.2	99.7	99.2	99.2	93.1	96.2	91.4	93.1
B-CNN		Х	91.9	94.2	87.7	91.9	83.8	90.1	87.0	83.1
HB-CNN		х	91.1	94.1	87.8	91.1	80.7	89.8	87.1	80.7
BA-CNN		Х	92.0	94.4	91.7	92.0	83.1	90.1	88.0	83.1
SBA-CNN		Х	89.8	93.9	92.6	89.8	80.0	89.5	85.3	80.0

#### Step 3: What about the masses of unlabeled data?

- Out-of-distribution detection methods analyze the output of a classifier to determine if the input is OOD or 'unknown'.
- The definition of OOD-ness -as outliers- is somewhat intuitive and based on context.
- Use existing annotated datasets to evaluate the quality of anomaly detection.

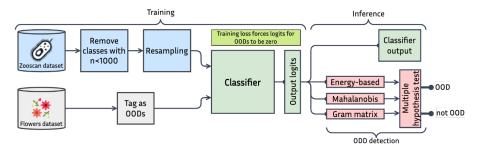




Usual activation pattern where one class has a higher activation value.

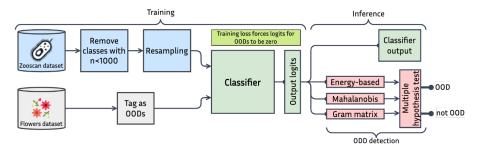
'Flat' activation pattern denotes that classifier is unable to provide a valid output.

#### Using adversarial examples for OOD detection



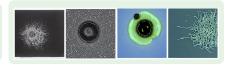
- Use 'known OODs' and induce 'flat logits' behavior via loss function.
- Leave one class out and use it for OOD evaluation (for all classes).
- OOD detection bumped from 58% to 93% retaining classifier performance!

#### Using adversarial examples for OOD detection

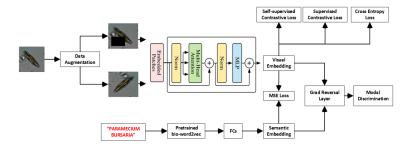


- Use 'known OODs' and induce 'flat logits' behavior via loss function.
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**Current:** Hey, AI, generate some (fake/adversarial) plankton examples to use as OODs!



#### Multi-modal/multi-task approach



- Classification: known plankton species in training dataset.
- Zero-shot classification: known plankton species not in dataset.
- Anomaly detection: beyond OOD with contrastive learning.



Figure 1: Examples from Lensless dataset



Figure 2: Examples from the newly added chimeras images



Figure 3: Examples from the newly added coco images

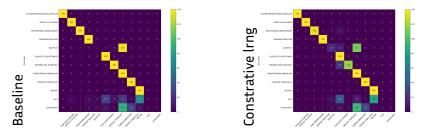
#### Multi-modal/multi-task learning: zero-shot results

- We then report the results of zero-shot learning for novel plankton species detection of different methods.
- We use the Top-k accuracy to evaluate the zero-shot learning.

top-k 
$$\operatorname{acc}(y, f) = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{k} \mathbf{1}(f_{i,j} = = y_i)$$
 (5)

where  $f_{i,j}$  is the predicted class for the i-th sample corresponding to the j-th largest predicted score.  $y_i$  is the corresponding true label. N is the number of samples, k is the number of gueses, and **1** is the indicator function.

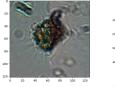
Methods	Top-1	Top-2	Top-5	Top-10
Proto-net + Constrasive	0.71	96.43	100.0	100.0
w/o Supervised Contrastive	1.43	96.43	100.0	100.0
Full model	12.86	100.0	100.0	100.0



#### Multi-modal/multi-task learning: Anomaly detection results

Methods	AUC (Overall)
w/o Supervised Contrastive	71.64
w/o Gromov-Wasserstein	78.77
Full model	96.25

#### Considering "Chimera" and "COCO" as the anomalies:



Anomaly score: 0.9574

Anomaly score: 0.9757





Anomaly score: 0.9523

Anomaly score: 0.9515

#### **False Positives**

**True Positives** 

#### What's next?

- Publish papers, papers, papers,
- (Re)using pretrained multi-modal models like CLIP<sup>13</sup>: human-readable explanations and more,
- imbalance learning by mixing augmentation and resampling,
- domain adaptation respecting domain constraints (causality),
- invariant representation learning,<sup>14</sup> and
- aggregation of images: What can we deduce relying on geographical distance and time between images?
- OcéanIA deep-plankton.
- plankton datasets, +700 models, all bells and whistles, scalable multi-host/multi-gpus, sharing models, etc.! Demo link.

#### Best partner: Ecotaxa (model distillation, scalable inference).

<sup>&</sup>lt;sup>13</sup> Radford, A., Kim, J. W., Hallacy, C., Ramesh, A., Goh, G., Agarwal, S., Sastry, G., Askell, A., Mishkin, P., Clark, J., Krueger, G., & Sutskever, I. (2021). Learning Transferable Visual Models From Natural Language Supervision

<sup>&</sup>lt;sup>14</sup> Kwon, S., Choi, J. Y., & Ryu, E. K. (2023). Rotation and Translation Invariant Representation Learning with Implicit Neural Representations

# 0 0 Inría Merci ! ¡Gracias! Thank you!

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Questions?